

PATENT

Case Docket No. TSAI24.001AUS

Date: October 23, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : LAU et al.
Appl. No. : 10/612,530
Filed : July 2, 2003
For : METHOD AND APPARATUS FOR
PREPARING ALUMINUM NITRIDE
Examiner : Unknown
Art Unit : 1754

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 23, 2003

(Date)

James W. Ausley, Reg. No. 49,076

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement
- (X) A PTO Form 1449 with six (6) enclosed references
- (X) Return prepaid postcard

- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

James W. Ausley
Registration No. 49,076
Agent of Record
Customer No. 20,995
(909) 781-9231



INFORMATION DISCLOSURE STATEMENT

Applicants : LAU et al.
App. No. : 10/612,530
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PREPARING ALUMINUM NITRIDE
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing six (6) references that are also enclosed.

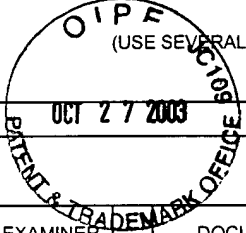
This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 10/20/03

By: James W. Ausley

James W. Ausley
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. TSAI24.001AUS	APPLICATION NO. 10/612,530
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT LAU et al.	
		FILING DATE July 2, 2003	GROUP 1754

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1	JP 63-274605	11/11/88	Japan			abstract only	

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	2	"Preparation of Mixed Ultrafine (Al+AlN) Powders and Their Nitridation" by Uda et al; Physical Chemistry of Powder Metals Production; The Minerals, Metals & Materials Society (1989)
	3	"Combustion Synthesis Using Microwave Energy" by Clark et al; Ceram. Eng. Sci Proc. 11[9-10], pp. 1729-1742 (1990)
	4	"New Ceramic Processing Approaches Using Combustion Synthesis Under Gas Pressure" by Miyamoto; Ceramic Bulletin, Vol. 69, No. 4, pp. 686-690 (1990)
	5	"Aluminum Nitride, a Refractory for Aluminum for 2000" by Long et al; Journal of American Ceramic Society, Vol. 42, No. 2, pp. 53-59 (Feb. 1, 1959)
	6	"Comprehensive Treatise On Inorganic and Theoretical Chemistry" by Mellor; Vol. VIII, Nitrogen and Phosphorus. Longmans, Green and Co., New York, pp. 111-112 (1928)

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	